

PATENT APPLICATION TRANSMITTAL LETTER

Docket Number: 4012-113 DIV1

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as Express Mail in an envelope addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C., 20231 and Express mailed under the provisions of 37 CFR § 1.10

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Kit Stapleford

Name

Signature

October 29, 1999

Date

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of:

Applicant: **Nnochiri Ekwuribe, et al.**Title: **"BLOOD-BRAIN BARRIER THERAPEUTICS"**Filing Date: **October 29, 1999 (Express Mail Filing Under 37 CFR § 1.10)**

This is a request for filing a Divisional Application under 37 C.F.R. § 1.60, of pending prior U.S. Patent Application No. 09/134,803, filed August 14, 1998 for "BLOOD-BRAIN BARRIER THERAPEUTICS" by Ekwuribe et al., as amended by a Preliminary Amendment dated July 27, 1999. Copies of the prior application and Preliminary Amendment are attached.

These copies comprise a true and exact copy of the prior application as filed (specification, claims, drawings, declaration).

The entire disclosure of the prior application, from which an oath or declaration is supplied, is considered a part of the

disclosure of the accompanying divisional application and is hereby incorporated by reference. A verified statement establishing small entity status under 37 CFR § 1.9 and 37 CFR § 1.27 was submitted in the parent application and is still proper and desired.

Enclosed are:

- ☒ Specification, claims, and abstract.
☒ 10 sheet(s) of drawing(s).
☒ An Assignment of the invention to _____.
☒ A true and exact copy of the declaration and power of attorney from the parent case (U.S. Application No. 09/134,803)
☐ A certified copy of a _____ application.
☒ A verified statement to establish small entity status under 37 CFR § 1.9 and 37 CFR § 1.27.
☒ Other: copy of July 27, 1999 Preliminary Amendment in parent application

The following fee is calculated based on the cancellation of claims 23-72, as set forth in the attached preliminary amendment.

FOR:	Column 1	Column 2	Small Entity		OR	Other Than Small Entity	
	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$380.00	OR		\$790.00
TOTAL CLAIMS	24 - 20 =	4*	x \$9 =	36.00	OR	x \$18 =	
INDEP. CLAIMS	4 - 03 =	1*	x \$39 =	39.00	OR	x \$78 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+ \$130 =	0	OR	+ \$260 =	
				Total		Total	
				\$455.00			\$40.00
Fee for recording the assignment=				\$0.00			
TOTAL=				\$455.00			

* If the difference in Column 1 is less than zero, enter "0" in Column 2.

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- ☒ A check in the amount of \$455.00 (check number 6420) to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any deficiency or credit any excess payment in connection with the filing of this application to Deposit Account No. 08-3284. A duplicate copy of this sheet is enclosed.

Bill Barrett

William A. Barrett, Reg. No. 42296

Date: Oct 29, 1999

INTELLECTUAL PROPERTY/TECHNOLOGY LAW

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